

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 220104US0CONT

First Inventor or Application Identifier Takaaki SEKIYAMA

Title PROCESS FOR PRODUCING NEW OXAZEPINE DERIVATIVES

PTO-10
C986781
10/086781
03/04/02

Assignee Name: AJINOMOTO CO., INC.

Assignee Address: 15-1, Kyobashi 1-Chome, Chuo-ku, Tokyo 104-0031, JAPAN

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Specification Total Sheets

3. Drawing(s) (35 U.S.C. 113) Total Sheets

4. Oath or Declaration Total Pages
 a. Newly executed (original or copy)
 b. Copy from a prior application (37 C.F.R. §1.63(d))
 (for continuation/divisional with box 17 completed)
 i. DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in
 the prior application, see 37 C.F.R. §1.63(d)(2) and
 1.33(b).

5. CD-ROM or CD-R in duplicate, large table or Computer
 Program (Appendix)
 Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)
 a. Computer Readable Form (CRF)
 b. Specification or Sequence Listing on :
 i. CD-ROM or CD-R (2 copies); or
 ii. Paper
 c. Statements verifying identity of above copies

Assistant Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, DC 20231

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
 8. Application Data Sheet. See 37 CFR 1.76
 9. 37 C.F.R. §3.73(b) Statement Power of
 (when there is an assignee) Attorney
 10. English Translation Document (if applicable)
 11. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
 12. Preliminary Amendment Citations (4)
 13. White Advance Serial No. Postcard
 14. Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
 15. Applicant claims small entity status.
 See 37 CFR 1.27
 16. Other: Request for Priority
 Translation of Category of Cited
 Documents
 International Search Report

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below.

Continuation Divisional Continuation-in-part (CIP) of prior application no.: PCT/JP00/05967

Prior application information: Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

18. Amend the specification by inserting before the first line the sentence:

- This application is a Continuation Division Continuation-in-part (CIP)
 of International application No. PCT/JP00/05967 Filed on September 1, 2000
 Which was published in English
 Which was not published in English
 This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS



22850

(703) 413-3000

FACSIMILE: (703) 413-2220

Name:	Norman F. Oblon	Registration No.:	24,618
Signature:		Date:	3-4-02
Name:	William E. Beaumont	Registration No.:	30,996

Docket No. 220104US0CONT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Takaaki SEKIYAMA, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: PROCESS FOR PRODUCING NEW OXAZEPINE DERIVATIVES

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	22 - 20 =	2	× \$18 =	\$36.00
INDEPENDENT CLAIMS	6 - 3 =	3	× \$84 =	\$252.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$280 =	\$0.00
<input checked="" type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$130.00
			BASIC FEE	\$740.00
			TOTAL OF ABOVE CALCULATIONS	\$1,158.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
			TOTAL	\$1,158.00

- Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.
 A check in the amount of \$1,158.00 to cover the filing fee is enclosed.
 The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030.
A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Date: March 4, 2002



Norman F. Oblon

Registration No. 24,618



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/01)

William E. Beaumont
Registration No. 30,996